

# Freedom of Information Act Report

Annual FOIA Report – FY 2018

## TABLE OF CONTENTS

I. BASIC INFORMATION REGARDING REPORT	1
II. MAKING A FOIA REQUEST	2
III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS	3
IV. EXEMPTION 3 STATUTES	7
V.A. FOIA REQUESTS – RECEIVED, PROCESSED AND PENDING FOIA REQUESTS	7
V.B.(1). DISPOSITION OF FOIA REQUESTS ALL PROCESSED REQUESTS	8
V.B.(2). DISPOSITION OF FOIA REQUESTS "OTHER" REASONS FOR "FULL DENIALS  BASED ON REASONS OTHER THAN EXEMPTIONS"	8
V.B.(3). DISPOSITION OF FOIA REQUESTS NUMBER OF TIMES EXEMPTIONS APPLIED	8
VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS – RECEIVED PROCESSED, AND PENDING ADMINISTRATIVE APPEALS	•
VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS ALL PROCESSED APPEALS	9
VI.C.(1). REASONS FOR DENIAL ON APPEAL NUMBER OF TIMES EXEMPTIONS APPLIED	9
VI.C.(2). REASONS FOR DENIAL ON APPEAL REASONS OTHER THAN EXEMPTIONS	.10
VI.C.(3). REASONS FOR DENIAL ON APPEAL "OTHER" REASONS	.10
VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS	.10
VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS	.11
VII.A. FOIA REQUESTS - RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS	.11
VII.B. PROCESSED REQUESTS RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH	
INFORMATION WAS GRANTED	.11
VII.C. PROCESSED SIMPLE REQUESTS RESPONSE TIME IN DAY INCREMENTS	.12
VII C PROCESSED COMPLEX REQUESTS RESPONSE TIME IN DAY INCREMENTS	12

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING – RESPONSE
TIME IN DAY INCREMENTS
VII.D. PENDING REQUESTS ALL PENDING PERFECTED REQUESTS
VII.E. PENDING REQUESTS TEN OLDEST PENDING PERFECTED REQUESTS
VIII.A. REQUESTS FOR EXPEDITED PROCESSING
VIII.B. REQUESTS FOR FEE WAIVER
IX. FOIA PERSONNEL AND COSTS
X. FEES COLLECTED FOR PROCESSING REQUESTS
XI.A. NUMBER OF TIMES SUBSECTION (C) USED15
XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS
XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS15
XII.B. CONSULTATIONS ON FOIA REQUESTS RECEIVED, PROCESSED, AND PENDING
CONSULTATIONS15
XII.C. CONSULTATIONS ON FOIA REQUESTS TEN OLDEST CONSULTATIONS
RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY16
XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL
REPORT REQUESTS RECEIVED AND PROCESSED
XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL
REPORT BACKLOGGED REQUESTS16
XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM
PREVIOUS AND CURRENT ANNUAL REPORT APPEALS RECEIVED AND PROCESSED17
XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM
PREVIOUS AND CURRENT ANNUAL REPORT BACKLOGGED APPEALS

#### I. BASIC INFORMATION REGARDING REPORT

#### A) Point of Contact:

Sandra Evans, NSF FOIA Officer Office of the General Counsel 2415 Eisenhower Ave, 18<sup>th</sup> floor Alexandria, VA 22314 (703) 292-5065

#### B) Electronic Address for report:

The FY 2018 FOIA Report for the National Science Foundation (NSF) will be available on the FOIA page of the NSF web site:

https://www.nsf.gov/policies/foia\_annual\_reports.jsp

#### C) Paper Copies of report:

Paper copies can be requested from the Point of Contact listed above.

#### II. MAKING A FOIA REQUEST

NSF policy is to make the fullest possible disclosure of information and records to any requester, without unnecessary expenses or delay. NSF's "Public Information Handbook" is available electronically on the FOIA page of the NSF's website: https://www.nsf.gov/publications/pub\_summ.jsp?ods\_key=nsf11012.

There are four basic agency requirements for making a FOIA request:

- 1) The request must be in writing (mailed correspondence, fax, or email) and include the requester's mailing address:
- 2) The envelope and letter content must identify the request as a FOIA request;
- 3) The request must provide enough detail to allow identification of the requested records; and
- 4) The request must include agreement to pay fees chargeable under NSF's fee schedule.

#### **Agency Components:**

Requests for records of the agency should be sent to the NSF FOIA Officer, Office of the General Counsel, 18th floor, 2415 Eisenhower Ave., Alexandria, VA 22314. Phone: 703-292-5065, Fax: 703-292-9041. Email: foia@nsf.gov.

Requests for documents maintained by the Office of the Inspector General should be addressed directly to the OIG, FOIA, 2415 Eisenhower Ave., Alexandria, VA 22314. Phone: 703-292-7100. Email: oig@nsf.gov.

#### Why some requests are not granted:

Requests for records may not be granted if the records do not exist; if records have been transferred to the ownership of the National Archives and Records Administration (NARA); if records contain predecisional information that if released would cause harm to NSF's decision-making processes; if records contain personal and/or proprietary information; if records contain information compiled for law enforcement purposes; or if the requester asked for information specifically prohibited from disclosure by other statutes.

#### III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

- 1. Definitions of terms used in this Report
  - a) NSF -National Science Foundation The National Science Foundation was established by Congress to promote progress in science and engineering. The agency does so primarily through grants and cooperative agreements with colleges, universities, K-12 school systems, businesses, information science organizations and other research institutions throughout the U.S.
  - a) Administrative Appeal a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
  - b) Average Number the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
  - c) Backlog the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
  - d) Component for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
  - e) Consultation the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
  - f) Exemption 3 Statute a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
  - g) FOIA Request a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e. a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also

include requests made by individuals seeking records concerning themselves (i.e., "first-party" requests) when those requestors are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester is included in this report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h) Full Grant an agency decision to disclose all records in full in response to a FOIA request.
- i) Full Denial an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j) Median Number the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k) Multi-Track Processing a system in which simple requests requiring relative minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis
  - Expedited Processing an agency will process a FOIA request on an expedited basis when a requester satisfied the requirement for expedited processing as set forth in the statute and in agency regulations.
  - ii. Simple Request a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
  - iii. Complex Request a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

- Partial Grant/Partial Denial in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m) Pending Request or Pending Administrative Appeal a request or administrative appeal for which an agency has not taken final action in all respects.
- n) Perfected Request a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o) Processed Request or Processed Administrative Appeal a request or administrative appeal for which an agency has taken final action in all respects.
- p) Range in Number of Days the lowest and highest number of days to process requests or administrative appeals.
- q) Time Limits the time period in the statue for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

#### 2. Description of the nine FOIA Exemptions:

- a) Exemption 1: classified national defense and foreign relations information.
- b) Exemption 2: internal agency rules and practices.
- c) Exemption 3: information that is prohibited from disclosure by another federal law.
- d) Exemption 4: trade secrets and other confidential business information.
- e) Exemption 5: inter-agency or intra-agency communications that are protected by legal privileges.
- f) Exemption 6: information involving matters of personal privacy.
- g) Exemption 7: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could be reasonably be expected to endanger the life or physical safety of any individual.

- h) Exemption 8: information relating to the supervision of financial institutions.
- i) Exemption 9: geological information on wells.

### 3. Agency Component Abbreviations

Component Abbreviation	Component Name
OGC	Office of the General Counsel
OIG	Office of Inspector General

#### IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 253b(m)(1) (current)	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts.	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233 at *13-15 (S.D. Ohio Mar, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar.31, 2011); Hombostel v. U.S. Dept. of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug 25, 2004).	OGC	1	1
			OIG	0	

## V.A. FOIA REQUESTS – RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
OGC	274	308	325	257
OIG	4	21	24	1
AGENCY OVERALL	278	329	349	258

### V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency /	Number	Number	Number of	Number	Number of Full Denials Based on Reasons Other than Exemptions									
Component	Component of Full Grants	of Partial Grants / Partial Denials	Full Denials Based on Exemptions	No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	TOTAL	
OGC	24	216	5	45	3	32	0	0	0	0	0	0	325	
OIG	2	3	7	7	1	1	0	0	3	0	0	0	24	
AGENCY OVERALL	26	219	12	52	4	33	0	0	3	0	0	0	349	

# V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

### V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	1	1	196	5	207	0	0	1	0	0	0	0	0
OIG	0	0	0	0	0	1	2	0	5	0	0	0	0	0
AGENCY OVERALL	0	1	1	196	5	208	2	0	6	0	0	0	0	0

# VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS – RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
OGC	0	4	4	0
OIG	0	0	0	0
AGENCY OVERALL	0	4	4	0

#### VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency /	Number Affirmed	Number Partially Affirmed & Partially	Number Completely	Number of Appeals Closed	TOTAL
Component	on Appeal	Reversed/Remanded on Appeal	Reversed/Remanded on Appeal	for Other Reasons	
OGC	1	3	0	0	4
OIG	0	0	0	0	0
AGENCY	1	3	0	0	4
OVERALL					

### VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	0	0	1	2	1	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	1	2	1	0	0	0	0	0	0	0	0

## VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
OGC	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0

## VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

## VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	20.00	20.00	20.00	21.00
OIG	0.00	0.00	0.00	0.00
AGENCY OVERALL	20.00	20.00	20.00	21.00

### VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
OGC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

### VII.A. FOIA REQUESTS - RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency /	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	7	57	1	657	90	201	1	1284	N/A	N/A	N/A	N/A
OIG	9	22	2	116	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AGENCY OVERALL	9	48	1	657	90	201	1	1284	N/A	N/A	N/A	N/A

#### VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency /	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
Component	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest
	Number	Number	Number	Number								
	of Days	of Days	of Days	of Days								
OGC	6	52	1	292	103	196	1	1284	N/A	N/A	N/A	N/A
OIG	8	9	2	21	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AGENCY	8	46	1	292	103	196	1	1284	N/A	N/A	N/A	N/A
OVERALL												

#### VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
OGC	44	5	3	2	4	1	0	2	1	1	4	0	2	69
OIG	14	3	1	1	1	1	0	0	0	0	0	0	0	21
AGENCY	58	8	4	3	5	2	0	2	1	1	4	0	2	90
OVERALL														

#### VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
OGC	57	38	18	10	8	14	15	11	7	6	16	17	39	256
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY	57	38	18	10	8	14	15	11	7	6	16	17	39	256
OVERALL														

#### VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

### VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency /	SIMPLE			COMPLEX			EXPEDITED	EXPEDITED PROCESSING			
Component	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days		
OGC	33	229	263	222	376	427	2	126	126		
OIG	1	25	25	0	N/A	N/A	0	N/A	N/A		
AGENCY OVERALL	34	227	256	222	313	380	2	126	126		

## VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency /		10th Oldest	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest
Component		Request									Request
OGC	Date of Receipt	2014-04-02	2014-03-20	2014-02-24	2014-02-07	2014-02-06	2014-01-10	2014-01-03	2013-11-26	2013-11-18	2013-10-24
	Number of Days Pending	1132	1141	1159	1169	1170	1188	1193	1218	1224	1240
OIG	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-08-23
	Number of Days Pending	0	0	0	0	0	0	0	0	0	26
AGENCY OVERALL	Date of Receipt	2014-04-02	2014-03-20	2014-02-24	2014-02-07	2014-02-06	2014-01-10	2014-01-03	2013-11-26	2013-11-18	2013-10-24
	Number of Days Pending	1132	1141	1159	1169	1170	1188	1193	1218	1224	1240

#### VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency /	Number	Number	Median Number of Days to	Average Number of Days to	Number Adjudicated Within Ten
Component	Granted	Denied	Adjudicate	Adjudicate	Calendar Days
OGC	0	2	11	15	0
OIG	0	0	N/A	N/A	0
AGENCY OVERALL	0	2	11	15	0

### VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
OGC	1	0	7	7
OIG	0	0	N/A	N/A
AGENCY OVERALL	1	0	7	7

## IX. FOIA PERSONNEL AND COSTS

Agency /	PERSONNEL				COSTS			
Component	Number of "Full-Time FOIA	Number of "Equivalent Full-Time	Total Number of "Full-Time	Processing	Litigation-Related	Total Costs		
	Employees"	FOIA Employees"	FOIA Staff"	Costs	Costs			
OGC	1	1.2	2.2	\$355,166.00	\$0.00	\$355,166.00		
OIG	0	0	0	\$24,325.00	\$0.00	\$24,325.00		
AGENCY	1	1.2	2.2	\$379,491.00	\$0.00	\$379,491.00		
OVERALL								

#### X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	<b>Total Amount of Fees Collected</b>	Percentage of Total Costs	
OGC	\$0.00	0.00%	
OIG	\$0.00	0.00%	
AGENCY OVERALL	\$0.00	0.00%	

### XI.A. NUMBER OF TIMES SUBSECTION (C) USED

Agency / Component	Number of Times Subsection Used
OGC	0
OIG	0
AGENCY OVERALL	0

### XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices	
OGC	0	229	
OIG	0	0	
AGENCY OVERALL	0	229	

#### XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
OGC	247	0
OIG	1	0
AGENCY OVERALL	248	0

### XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
OGC	0	3	3	0
OIG	0	3	3	0
AGENCY	0	6	6	0
OVERALL				

# XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
OGC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0

# XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency /	/ NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>		
Component	Number Received During Fiscal Year	Number Received During Fiscal	Number Processed During Fiscal Year	Number Processed During Fiscal	
	from Last Year's Annual Report	Year from Current Annual Report	from Last Year's Annual Report	Year from Current Annual Report	
OGC	296	308	251	325	
OIG	29	21	27	24	
AGENCY	325	329	278	349	
OVERALL					

# XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency /	Number of Backlogged Requests as of End of the Fiscal Year from	Number of Backlogged Requests as of End of the Fiscal Year from
Component	Previous Annual Report	Current Annual Report
OGC	262	247
OIG	1	1
AGENCY OVERALL	263	248

# XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency /	NUMBER OF APPEALS RECEIVED		NUMBER OF APPEALS <u>PROCESSED</u>		
Component	Number Received During Fiscal Year	Number Received During Fiscal	Number Processed During Fiscal Year	Number Processed During Fiscal	
	from Last Year's Annual Report	Year from Current Annual Report	from Last Year's Annual Report	Year from Current Annual Report	
OGC	2	4	2	4	
OIG	0	0	0	0	
AGENCY	2	4	2	4	
OVERALL					

# XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency /	Number of Backlogged Appeals as of End of the Fiscal Year from Previous	Number of Backlogged Appeals as of End of the Fiscal Year from Current
Component	Annual Report	Annual Report
OGC	0	0
OIG	0	0
AGENCY OVERALL	0	0